

Case 9:11-mj-08463-JMH Document 6 Entered on FLSD Docket 01/19/2012 Page 1 of 1

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA
CLERK'S OFFICE
U.S. DISTRICT COURT E.D.N.Y.

Steven M. Larimore
Court Administrator • Clerk of Court

701 Clematis Street, Rm 202
West Palm Beach, Florida 33401
(561) 805-3400
★ FEB - 6 2012

TRANSMITTAL LETTER

LONG ISLAND OFFICE

TO: CLERK OF COURT

ALFONSO M. D'AMATO UNITED STATES COURTHOUSE
FEDERAL PLAZA, ROOM 100
CENTRAL ISLIP, NY 11722

FILED by _____ D.C.
JAN 19 2012
STEVEN M. LARIMORE CLERK U.S. DIST. CT. S.D. OF FLA. - W.P.B.

RE: USA v SCOTT MULLIGAN
Case No. MJ-11-1252
SD/FL Case No. 11-8463-JMH

DATE:

=====

The above-mentioned cause has been transferred to your jurisdiction pursuant to Rule 40
Please find enclosed the following documents:

_____ Original file
 X Certified File
_____ Magistrate Proceedings -Original Pleadings

CASH Bond Amount \$ _____
(Note: Cash is not included in this transmittal and will
be forwarded at a later date from the Financial Section)

Kindly acknowledge receipt of these documents by RECEIVE STAMPING the enclosed copy of this letter and sending it to:

UNITED STATES DISTRICT COURT
CLERK OF COURT c/o Tanya McClendon
701 CLEMATIS STREET, ROOM 202
WEST PALM BEACH, FLORIDA 33401

Yours sincerely

By Tanya McClendon
Tanya McClendon, Deputy Clerk

Case 9:11-mj-08463-JMH Document 6 Entered on FLSD Docket 01/19/2012 Page 1 of 1

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA
CLERK'S OFFICE
U.S. DISTRICT COURT E.D.N.Y.

Steven M. Larimore
Court Administrator • Clerk of Court



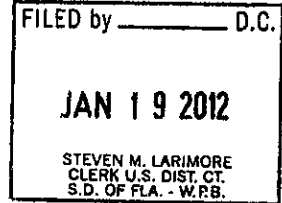
701 Clematis Street, Rm 202
West Palm Beach, Florida 33401
(561) 803-3400

TRANSMITTAL LETTER

LONG ISLAND OFFICE

TO: CLERK OF COURT

**ALFONSO M. D'AMATO UNITED STATES COURTHOUSE
FEDERAL PLAZA, ROOM 100
CENTRAL ISLIP, NY 11722**



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Case No. MI-11-1252
SD/FL Case No. 11-8463-JMH**

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Tanya McClendon, Deputy Clerk

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA**

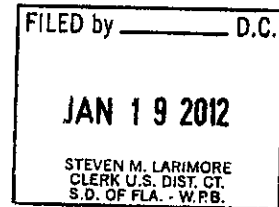
Steven M. Larimore
Court Administrator • Clerk of Court

701 Clematis Street, Rm 202
West Palm Beach, Florida 33401
(561) 803-3400

TRANSMITTAL LETTER

TO: CLERK OF COURT

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FEDERAL PLAZA, ROOM 100
CENTRAL ISLIP, NY 11722**



RE: USA v SCOTT MULLIGAN
Case No. MJ-11-1252
SD/FL Case No. 11-8463-JMH

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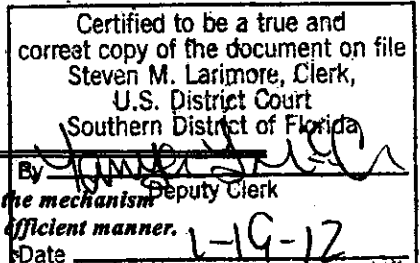
CASH Bond Amount \$ _____
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CLERK OF COURT c/o Tanya McClendon
701 CLEMATIS STREET, ROOM 202
WEST PALM BEACH, FLORIDA 33401**

Yours sincerely

By 
Tanya McClendon, Deputy Clerk



The Mission of the Clerk's Office for the Southern District of Florida is to be the mechanism for the judges, the bar, litigants, and the public, to resolve disputes in a just and efficient manner.

CLOSED

**U.S. District Court
Southern District of Florida (West Palm Beach)
CRIMINAL DOCKET FOR CASE #: 9:11-mj-08463-JMH-1
Internal Use Only**

Case title: USA v. Mulligan
Other court case number: MJ-11-1252 Eastern District of
New York (Central Islip)

Date Filed: 12/23/2011
Date Terminated: 12/21/2011

Assigned to: Magistrate Judge James
M. Hopkins

Defendant (1)

Scott Mulligan
Prisoner ID: 60271-053
Language: English; YOB: 1967
TERMINATED: 12/21/2011

represented by **Noticing FPD-WPB**
Email: wpb_ecf@fd.org
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: Public Defender
Appointment

Pending Counts

None

Disposition

Highest Offense Level (Opening)

None

Terminated Counts

None

Disposition

Highest Offense Level (Terminated)

None

Complaints

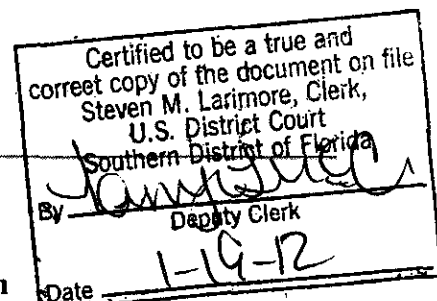
18:33.F

Disposition

Plaintiff

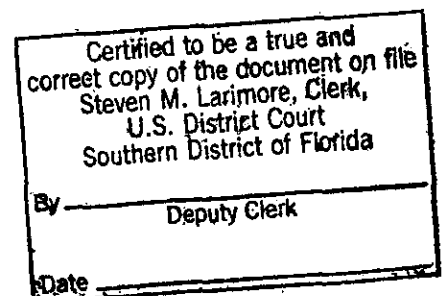
USA

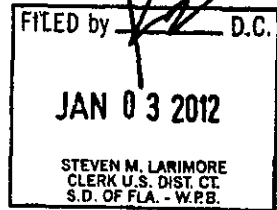
represented by **Ellen L Cohen**
United States Attorney's Office
500 South Australian Avenue



Suite 400
 West Palm Beach, FL 33401
 561-209-1046
 Fax: 659-4526
 Email: Ellen.Cohen@usdoj.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
12/21/2011	1	Magistrate Removal of Complaint from the Eastern District of New York (Central Islip) Case number in the other District MJ-11-1252 as to Scott Mulligan (1). (tmn) (Entered: 12/23/2011)
12/21/2011	2	Minute Entry for proceedings held before Magistrate Judge James M. Hopkins: Initial Appearance in Rule 5(c)(3)/Rule 40 Proceedings as to Scott Mulligan held on 12/21/2011, Removal Hearing as to Scott Mulligan held on 12/21/2011. (Digital 14:22:41.) (tmn) (Entered: 12/23/2011)
12/21/2011	3	Order on Initial Appearance as to Scott Mulligan for proceeding held on 12/21/2011 Attorney Noticing FPD-WPB for Scott Mulligan added for the defendant. Signed by Magistrate Judge James M. Hopkins on 12/21/2011. (tmn) (Entered: 12/23/2011)
12/21/2011	4	WARRANT OF REMOVAL ISSUED to District of the Eastern District of New York as to Scott Mulligan. Signed by Magistrate Judge James M. Hopkins on 12/21/2011. (tmn) (Entered: 12/23/2011)
01/03/2012	5	Report Commencing Criminal Action as to Scott Mulligan - YOB: **/**/1967 Prisoner #: 60271-053 (kza) (Entered: 01/04/2012)
01/19/2012	6	Transmittal Letter as to Scott Mulligan sent to the Eastern District of New York (Cental Islip) with certified copy of all documents in the Court file and the Docket Sheet. (tmn) (Entered: 01/19/2012)



UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

REPORT COMMENCING CRIMINAL ACTION

UNITED STATES OF AMERICA

CASE #

- 11-11-1252
11-8463-JMH

VS

Scott M. Mulligan

PRISONER #

60271-053*****
TO: CLERK'S OFFICE, UNITED STATES DISTRICT COURT: (CIRCLE ONE)MIAMI FT. LAUDERDALE WEST PALM BEACH ST. PIERCE

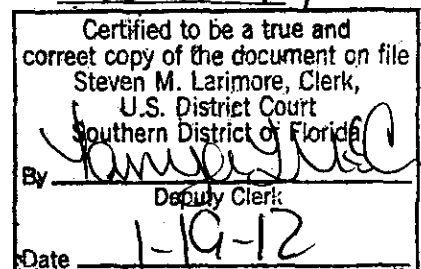
ALL ITEMS ARE TO BE COMPLETED - INFORMATION NOT APPLICABLE OR
UNKNOWN TO BE INDICATED BY "N/A":1) DATE AND TIME OF ARREST: 12/21/11 07002) LANGUAGE(S) SPOKEN: English3) OFFENSE (S) CHARGED: 18 sec 334) U.S. CITIZEN ☒ YES ☐ NO ☐ UNKNOWN5) DATE OF BIRTH: 1/1967

6) TYPE OF CHARGING DOCUMENT: (CHECK ONE)

- ☒ INDICTMENT
☐ COMPLAINT TO BE FILED/ALREADY FILED
☐ BENCH WARRANT FOR FAILURE TO APPEAR
☐ PROBATION VIOLATION WARRANT
☐ PAROLE VIOLATION WARRANT

ORIGINATING DISTRICT: EDNYCOPY OF WARRANT LEFT WITH BOOKING OFFICER ☒ YES ☐ NO

7) AMOUNT OF BOND: _____ WHO SET BOND: _____
 8) ARRESTING/PROCESSING AGENT: Gavin Gumbinner DATE: 12/21/11
 9) AGENCY: FBI PHONE: 786-412-9479



Case 9:11-mj-08463-JMH Document 4 Entered on FLSD Docket 12/23/2011 Page 1 of 1

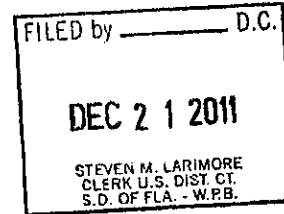
UNITED STATES OF AMERICA
SOUTHERN DISTRICT OF FLORIDA

CASE NO. 11-8463-JMH

UNITED STATES OF AMERICA,
Plaintiff,

v.

SCOTT MULLIGAN,
Defendant.



WARRANT OF REMOVAL

A(n) X Complaint
_____ Indictment
_____ Information
_____ Probation Violation Warrant
_____ Supervised Release Violation Warrant
_____ Bench Warrant
_____ Violation of Pretrial Release Warrant

having been filed in the EASTERN DISTRICT OF NEW YORK charging the above named defendant with 18:33, 34 & 2, and the defendant having

X been arrested
_____ surrendered

in the Southern District of Florida, having had an initial appearance before the Court and having:

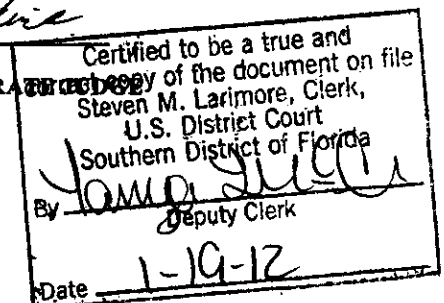
X waived further hearing
_____ been given a hearing in accordance
with Fed.R.Crim.P. 40.

having not posted the bail as set by the Court, the defendant is hereby committed to the custody of the United States Marshal for removal to the District where the charge is pending and delivery to the United States Marshal for that District or his lawfully authorized representative.

DONE AND ORDERED at West Palm Beach, Florida this 21ST day of DECEMBER, 2011.

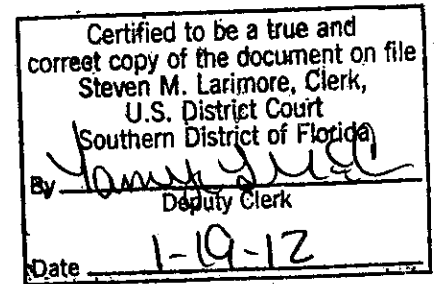
James M. Hopkins
JAMES M. HOPKINS
UNITED STATES MAGISTRATE JUDGE

c: AUSA
Defense
Pretrial Services
US Probation
U.S. Marshal (2 certified copies)



UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

CASE NO. 11-8463-JMH

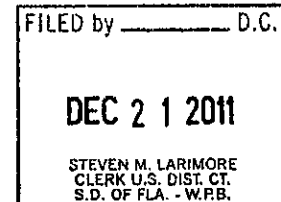


UNITED STATES OF AMERICA

Plaintiff,

v.

SCOTT MULLIGAN,
Defendant.



ORDER ON INITIAL APPEARANCE

AUSA Sean Flynn/Ellen Cohen Language English
Agent _____ Prisoner No. _____

The above-named defendant having been arrested on _____ having appeared before the court for initial appearance on 12/21/2011 and proceedings having been held in accordance with Fed.R.Cr.P. r. 5 or 40(a), it is thereupon

ORDERED as follows:

1. _____ appeared as permanent counsel of record.
Address: _____
Zip Code: _____ Telephone: _____
2. **FEDERAL PUBLIC DEFENDER** _____ appointed for purposes of the Initial Hearing.
Address: _____
Zip Code: _____ Telephone: 561-833-6288
3. The defendant shall attempt to retain counsel and shall appear before the court at 10:00 a.m. on _____, 2011.
4. _____ hearing is set for _____, 2011.
5. The defendant is held in temporary pretrial detention pursuant to 18 U.S.C. Section 3142 (d) or (f) because _____.
A Detention Hearing, pursuant to 18 U.S.C. Section 3142(f), is set for ordered removed 2011.
6. The defendant shall be release from custody upon the posting of the following type of appearance bond, pursuant to 18 U.S.C. Section 3142:

This bond shall contain the standard conditions of bond printed in the bond form of this Court and, in addition, the defendant must comply with the special conditions checked below:

COURT MINUTES

U.S. MAGISTRATE JUDGE JAMES M. HOPKINS DATE: 12/21/2011 TIME: 2:00 PM

DEFT: SCOTT MULLIGAN (J)

CASE NO: 11-8463-JMH

AUSA: SEAN FLYNN / Ellen Cohen

ATTY: FPD-Robin Rosen Evans ✓

AGENT:

VIOL: REMOVAL TO THE EASTERN DISTRICT OF NEW YORK

PROCEEDING: INITIAL HEARING ON REMOVAL ✓ Identity

BOND RECOMMENDATION:

PTD (REQUESTED BY GOVT.)

BOND/PTD HEARING HELD - yes / no Hearing

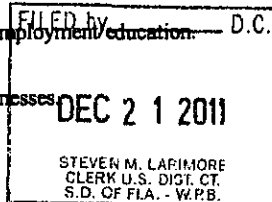
LANGUAGE:

ENGLISH

BOND SET @

PRISONER NO.

- ☐ All Standard Conditions.
- ☐ Surrender / or do not obtain passports / travel documents
- ☐ Rpt to PTS as directed / or _____ x's a week/month by phone; _____ x's a week/month in person
- ☐ Refrain from excessive use of alcohol
- ☐ Participate in a mental health assessment and treatment
- ☐ Random urine testing by Pretrial Services and/or treatment as deemed necessary
- ☐ Not to encumber property.
- ☐ Maintain or seek full - time employment/education. — D.C.
- ☐ No contact with victims / witnesses
- ☐ No firearms.
- ☐ May Not visit Transportation Establishments.
- ☐ Travel extended to: _____
- ☐ Home Confinement/Electronic Monitoring/Curfew paid by _____
- ☐ Other _____



Disposition:

Deft present Sworn
Deft Advised of charges &
Rights
Deft questioned regarding
Appointment of Counsel
Deft will hire Counsel.
Court suggest to the Court to
Appoint FPD to the Deft for
the purpose of this hearing.
Court Appoints FPD. Deft
will waive his right to have
Detention Hearing. No Detention
Hearing Held. Deft Retained

NEXT COURT APPEARANCE:

DATE:

TIME:

JUDGE:

PLACE:

REPORT RE COUNSEL:

PTD/BOND HEARING:

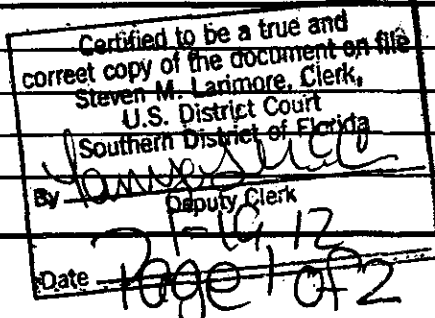
PRELIM/ARRAIGN. OR REMOVAL:

STATUS CONFERENCE:

TIME IN COURT:

DAR:

14:22:41



COURT MINUTES

U.S. MAGISTRATE JUDGE JAMES M. HOPKINS DATE: 12/21/2011 TIME: 2:00 PM

DEFT: SCOTT MULLIGAN (J)

CASE NO: 11-8463-JMH

AUSA: SEAN FLYNN

ATTY: FPD - Robin Rosen - Evans

AGENT:

VIOL: REMOVAL TO THE EASTERN
DISTRICT OF NEW YORKPROCEEDING: INITIAL HEARING ON
REMOVAL IdentityBOND
RECOMMENDATION: PTD (REQUESTED
BY GOVT.)

BOND/PTD HEARING HELD - yes / no Hearing

LANGUAGE: ENGLISH

BOND SET @

PRISONER NO.

- ☐ All Standard Conditions.
- ☐ Surrender / or do not obtain passports / travel documents
- ☐ Rpt to PTS as directed / or _____ x's a week/month
by phone; _____ x's a week/month in person
- ☐ Refrain from excessive use of alcohol
- ☐ Participate in a mental health assessment and treatment
- ☐ Random urine testing by Pretrial Services and/or treatment
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- ☐ Not to encumber property.
- ☐ Maintain or seek full - time employment/education.
- ☐ No contact with victims / witnesses.
- ☐ No firearms.
- ☐ May Not visit Transportation Establishments.
- ☐ Travel extended to: _____
- ☐ Home Confinement/Electronic Monitoring/Curfew _____
paid by _____
- ☐ Other _____

Disposition: Defense would like to
have Identity hearing.
Govt proffers as to the
identity of the Deft.
No argument from Defense
Court accepts proffer and
identifies the Deft as the
person identified in the
Criminal Complaint.
Deft may be transported
to the FPD of New York
by FBI Agents
Deft ordered removed

NEXT COURT APPEARANCE: DATE: TIME: JUDGE: PLACE:

REPORT RE COUNSEL: _____

PTD/BOND HEARING: _____

PRELIM/ARRAIGN. OR REMOVAL: _____

STATUS CONFERENCE: _____

TIME IN COURT: 17 mins

DAR:

Page 2 of 2

11-8463-JMH

JMM:SCF/CNC
F.#2005R00138

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

MJ-11-1252

- - - - - X

FILED UNDER SEAL

UNITED STATES OF AMERICA

COMPLAINT AND AFFIDAVIT
IN SUPPORT OF ARREST
WARRANT

- against -

SCOTT MULLIGAN,

(18 U.S.C. §§ 33, 34
and 2)

Defendant.

- - - - - X

EASTERN DISTRICT OF NEW YORK, SS:

ROBERT F. SCHELHORN, Jr. being duly sworn, deposes and says that he is a Special Agent with the Federal Bureau of Investigation ("FBI"), duly appointed according to law and acting as such.

Upon information and belief, there is probable cause to believe that, on or about June 23, 1994, within the Eastern District of New York, the defendant SCOTT MULLIGAN, together with others, willfully and with a reckless disregard for the safety of human life, disabled and incapacitated Julius Baumgardt and Walter Tully, who were drivers and persons employed in connection with the operation of a motor vehicle used, operated and employed in interstate commerce, to wit: a Mid-Island armored van, and lessened the ability of such persons to perform their duties, which offense resulted in the death of Julius Baumgardt.

(Title 18, United States Code, Sections 33, 34)

Certified to be a true and correct copy of the document on file	
Steven M. Larimore, Clerk,	
33, 34 U.S. District Court	
Southern District of Florida	
By	<i>[Signature]</i> Deputy Clerk
Date	1-19-12

- a. Surrender all passports and travel document to the Pretrial Services Office; .**

- b. Report to Pretrial Services as follows: ___times a week by phone, ___time a week in person; other: _____

- c. Submit to random urine testing by Pretrial Services for the use of non-physician-prescribed substances prohibited by law.

- d. Maintain or actively seek full time gainful employment/education.**

- e. Maintain or begin an educational program.

- f. Avoid all contact with victims of or witnesses to the crimes charged.**

- g. Refrain from possessing a firearm, destructive device or other dangerous weapon.**

- #### h. Comply with the following curfew:

- I. Avoid all commercial transportation facilities; no airports, no marinas, no bus terminals.**

- j. Comply with the following additional special conditions of this bond:**

This bond was set: At Arrest

On Warrant

After Hearing

If bond is changed from that set in another District, the reason pursuant to Rule 40(f) is

_____ If this space is checked, an evidentiary hearing pursuant to United States v. Nebbia, 357, F.2d 303 (2 Cir. 1966) shall be held prior to the posting of the bond. Such hearing shall be scheduled promptly upon notification to the court that the defendant is ready to post bond.

7. The defendant has been advised by the court that if he or she is released on bond pursuant to the conditions set forth herein or those later ordered by the court, the defendant is subject to arrest and revocation of release and to various civil and criminal sanctions for any violation of those conditions. These various sanctions and penalties are set forth more fully in the Appearance Bond itself.

8. The defendant is committed to the custody of the United States Marshal until an appearance bond has been executed in accordance with this or subsequent court order.

DONE AND ORDERED at West Palm Beach, Florida this 21st day of December, 2011.

James M. Jeffers

JAMES M. HOPKINS

UNITED STATES MAGISTRATE JUDGE

c: Assistant U.S. Attorney

Defense Counsel

Pretrial Services/Probation

The source of Your deponent's information and the grounds for his belief are as follows:^{1/}

1. I have been a Special Agent with the FBI for approximately 16 years. Since late 1999, I have been the lead agent assigned to the investigation of the 1994 murder of Baumgardt, and two subsequent and related murders occurring in 1994 and 2003, as set forth more fully below. The information contained in this Complaint comes from first-hand knowledge, my discussions with witnesses involved in the investigation, my discussions with other law enforcement agents and officers, my review of reports prepared by other law enforcement officers, my review of other evidence, including documents, photographs and recordings related to this investigation, and my training and experience.

A. The Murder of Julius Baumgardt

2. Mid-Island Check-Cashing Corporation ("Mid-Island") was a check-cashing business located in Massapequa, New York. Mid-Island owned and operated armored vans that served as mobile check-cashing outlets for the employees of Mid-Island's

^{1/} Because the purpose of this Complaint is to establish only probable cause to arrest, I have not set forth a description of all the facts and circumstances of which I am aware. Where the contents of documents and the actions, statements, and conversations of others are reported herein, they are reported in sum and substance and in part, except where otherwise indicated.

customers, which included businesses located on Long Island, New York and in New Jersey. Mid-Island's armored vans were "motor vehicles" as defined in Title 18, United States Code, Section 31(a)(6). In June 1994, Volt Information Sciences, Inc. ("Volt") was a customer of Mid-Island, and was located in Syosset, New York.

3. Julius Baumgardt ("Baumgardt") and Walter Tully ("Tully"), were persons employed by Mid-Island who jointly operated a Mid-Island armored van. On or about June 23, 1994, Baumgardt and Tully were assigned to deliver United States currency to Volt. As Baumgardt and Tully approached the Volt premises, the defendant SCOTT MULLIGAN ("MULLIGAN"), Christian Tarantino ("Tarantino") and Louis Dorval ("Dorval") approached Baumgardt and Tully, brandished firearms and ordered Baumgardt and Tully to lay on the ground. As Tully lay face-down and handcuffed, Dorval shot and killed Baumgardt. MULLIGAN and his accomplices then grabbed two satchels carried by Baumgardt, which contained the United States currency.

4. Numerous individuals both inside and outside the Volt building witnessed the robbery and murder of Baumgardt. Two such eyewitnesses, John Does 1 and 2,^{2/} were standing outside the building - a few feet from Baumgardt and Tully - when the crime

^{2/} I am aware of the identities of all the individuals referenced by aliases in this Affidavit.

occurred. John Does 1 and 2 have previously testified^{3/} that they saw two assailants on June 23, 1994. According to John Does 1 and 2, one of those individuals, subsequently identified during the course of the investigation as Dorval, was wearing a dark business suit, a mustache and sunglasses, and was carrying a handgun. The second individual was wearing a rubber mask that covered his entire head. John Doe 1 described this mask as resembling the face of a pig. John Does 1 and 2 testified that this second individual was carrying what both described as a black, pump action, pistol grip shotgun that, as set forth below, was purchased on behalf of Tarantino three days earlier. John Doe 2 further described one of the assailants as a large individual, approximately six-foot-one-inch in height, with a "heavy build".^{4/}

5. Jane Does 1 and 2 were employees of Volt, who viewed the events from inside the building through ground-floor

^{3/} On May 23, 2011, after a six-week jury trial conducted before the Honorable Joanna Seybert, Tarantino was convicted of participating in the June 23, 1994 murder of Julius Baumgardt, in violation of 18 U.S.C. §§ 33 and 34, and the August 1994 obstruction of justice murder of Dorval, in violation of 18 U.S.C. § 1512. See United States v. Christian Tarantino, 08 CR 655 (JS). John Does 1 and 2, as well as John Doe 5 and Jane Does 1, 2 and 3, referenced infra, testified during that trial.

^{4/} Your deponent has reviewed surveillance photographs taken of MULLIGAN in the summer of 1994. In those photographs, MULLIGAN looks as if he could have weighed between 210-240 pounds during that period. Additionally, biographical data contained in MULLIGAN's criminal history report indicates that he is six-foot-one-inch tall.

windows looking out onto the building's parking lot. According to Jane Does 1 and 2, there were three assailants who ambushed Baumgardt and Tully that morning. At least two of those individuals drove to the area in a red SUV, which was located shortly after the murder and identified as a Chevy Blazer (the "Blazer"). Both Jane Does 1 and 2 identified two of the assailants as wearing rubber "pig masks." According to Jane Does 1 and 2, one of these individuals was carrying a shotgun during the robbery.

6. Moments after Dorval shot Baumgardt, the assailants fled in the Blazer, and then abandoned the SUV only a few hundred yards from where Baumgardt was killed. The assailants then sped away in a sedan, as described below. Police photographs of the Blazer taken soon after the murder show that its windows were left open and the vehicle was not properly aligned within the parking space. The Blazer bore a Florida license plate, with number PCX-42D. That number matched all but one digit of the six-digit identifier Jane Doe 1 relayed to law enforcement in a 911 call made moments after the robbery and murder of Baumgardt.^{5/}

7. Subsequent investigation established that the Blazer had been stolen from a car dealership in Hicksville, New York, on or about January 28, 1994. The license plate belonged

^{5/} In her 911 call to police, Jane Doe 1 identified the Blazer's license plate as a Florida plate, number PCX420.

to a different vehicle that was registered to an elderly Florida woman, who died 11 days prior to the Baumgardt murder. The Blazer was processed by Nassau County Police Department ("NCPD") detectives in the Scientific Investigations Bureau. The detectives noted that the Blazer appeared to have been thoroughly cleaned. Nevertheless, shortly after the murder, a crime scene examiner recovered both human and animal hairs from the seats and floor of the Blazer.

8. After abandoning the Blazer, Baumgardt's killers entered a cream/metallic colored sedan and fled out of the parking lot in front of the Volt building onto Jericho Turnpike. Two eyewitnesses reported almost being struck by this second getaway car as it fled the Volt office complex and abandoned Chevy Blazer. According to Jane Doe 3, the individual driving the cream/metallic sedan appeared to be a heavier-set individual with his hair pulled back into a pony tail. I have reviewed police surveillance photos taken of MULLIGAN and others in the weeks after the Baumgardt murder. In those photos, MULLIGAN's hair resembles the description given by Jane Doe 3. Specifically, MULLIGAN wore his hair pulled back in a pony tail.

B. The Storage Unit

9. In the weeks before the Baumgardt murder, NCPD detectives investigating an auto-theft ring conducted surveillance at a self-storage business in Farmingdale, New York. Tarantino, MULLIGAN and others were subjects of that

investigation. On June 20, 1994, Nassau County Police Department ("NCPD") detective Jack Kennedy, while at the self-storage business, observed an associate of MULLIGAN and Tarantino's, Carl Vahldieck, use a driver's license of another MULLIGAN and Tarantino acquaintance, John Doe 3, to rent storage unit B-54.

10. On the morning of June 24, 1994, the day after Baumgardt's murder, Detective Kennedy and his partner Detective Raymond Gene obtained a search warrant for storage unit B-54. After receiving a call from the manager of the storage facility advising that an individual was at the B-54 unit, Gene immediately drove to the storage facility as Kennedy waited for the warrant to be signed by a New York Supreme Court Justice. As Gene arrived at the business, he saw MULLIGAN walk away from unit B-54, enter a white Lexus vehicle and drive away. Kennedy arrived shortly after in time to see MULLIGAN driving out of the storage unit complex. At the time, Detective Kennedy was very familiar with the MULLIGAN family through his work as a law enforcement officer in the Merrick/Bellmore area of Long Island. Detective Kennedy had served as a pall bearer at the funeral of MULLIGAN's brother, and was personally familiar with the defendant. Further, Detective Kennedy noted the license plate of MULLIGAN's vehicle, which subsequently came back as being registered to MULLIGAN's mother.

11. Upon executing the search warrant for unit B-54 a few minutes later, detectives Gene and Kennedy found a stolen

automobile and a canvas bag containing a Model 19, 9 mm Glock pistol (serial # APX041US), a Mossberg, Model 88 12-gauge shotgun with a pistol grip (serial # MV51077D) (the "Mossberg Shotgun"), police radio scanners and walkie talkies. Both John Does 1 and 2 have identified the Mossberg Shotgun found in the B-54 storage unit as being similar to the one they observed during the June 23, 1994 robbery and murder of Baumgardt. A firearms trace conducted by the Bureau of Alcohol, Tobacco and Firearms ("ATF") revealed that the Mossberg Shotgun was purchased on June 20, 1994 at a sporting goods store in Merrick, New York, by John Doe 4. ATF records further revealed that the Glock pistol (serial # APX041US) seized from the storage unit was purchased by Dorval in Florida on February 28, 1994 - along with two other handguns - four months before Baumgardt's murder. John Doe 4 has testified under oath that he purchased the Mossberg Shotgun at the request of Vahldieck, with instructions that the weapon was needed by Tarantino.

12. According to a Confidential Witness ("CW-1"), who was a close partner and criminal associate of both MULLIGAN and Tarantino, in the days following the Baumgardt murder, NCPD detectives questioned several young male individuals, including Vahldieck and John Does 3 and 4, about their connection to the B-54 storage unit and the weapons found inside it. CW-1^{5/} reported

^{5/} Following initial meetings with Your deponent and others in 2007, CW-1 stated that he received information that Tarantino

this information to MULLIGAN, who expressed concern that John Doe 4 was cooperating with authorities. According to CW-1, MULLIGAN then instructed CW-1 to take Vahldieck and John Does 3 and 4 to a Long Island-based attorney, whose identity is known, who was familiar with MULLIGAN and Tarantino. The purpose of taking these individuals to the attorney was to obtain written (and false) statements from them that would tend to exculpate MULLIGAN or Tarantino concerning their connection to storage unit B-54 and the purchase of the Mossberg Shotgun.

13. On or about July 4, 1994, one of the members of MULLIGAN and Tarantino's circle of associates, Gus Kritikos, was involved in a motorcycle accident on Long Island, which left Kritikos in vegetative state. According to CW-1, following that accident, MULLIGAN stated to CW-1 and others that, should they be questioned about the purchase of the Mossberg Shotgun found in the storage unit, they should indicate that the shotgun had been

and/or others knew that he had met with federal law enforcement agents. Subsequently, CW-1 stated that he was unwilling to testify out of fear of retaliation, and would, if subpoenaed, claim that his earlier statements were coerced. More recently, CW-1 has stated that he is prepared to testify in open court, and that the information he provided Your deponent beginning in 2007, as summarized herein, is true and accurate. The information provided by CW-1 as set forth herein has been corroborated by numerous sources and witnesses. Accordingly, I deem CW-1 to be reliable.

purchased at the direction of Kritikos - not Tarantino - since Kritikos was incapacitated.^{1/}

C. MULLIGAN's Expressed Concern that His DNA Would Be Recovered from the Blazer, Which was Abandoned at the Scene of the Baumgardt Murder

14. On July 13, 2000, grand jury subpoenas directed MULLIGAN, Tarantino and others to provide buccal swabs, hair samples, palm prints and finger prints in an effort to link them to forensic evidence retrieved from the Blazer after the armored car robbery and murder of Baumgardt. MULLIGAN's hair and buccal swab were taken on July 26, 2000 at the NCPD Headquarters by NCPD Homicide Squad detectives working in conjunction with Your deponent on the Baumgardt and Dorval murder investigations. Sometime thereafter, during the summer of 2000, MULLIGAN called CW-1 and requested that CW-1 immediately come to his house in Bellmore, New York to speak with him. In a statement CW-1 provided to investigators in 2007, CW-1 indicated that during their 2000 conversation, MULLIGAN appeared visibly nervous about the taking of his DNA. According to CW-1, MULLIGAN stated that Tarantino speculated that the request for DNA was in connection with an unrelated federal narcotics and money laundering

^{1/} Notwithstanding evidence to the contrary, including the trial testimony of John Doe 4, who purchased the Mossberg Shotgun at Vahldieck's direction, that it was needed by Tarantino, Vahldieck has maintained as late as January 2010 that the Mossberg Shotgun was intended for Kritikos.

investigation of which MULLIGAN and Tarantino were aware.^{2/}

However, according to CW-1, MULLIGAN was convinced that the DNA was related to another unspecified matter.

15. In or about September 2000, MULLIGAN attended a wedding in Great Neck, New York, which was also attended by a Cooperating Witness ("CW-2"). CW-2 is a cooperating defendant who pled guilty to Conspiracy to Defraud the United States, in violation of 18 U.S.C. § 371, and Conspiracy to Collect Unlawful Debts - Gambling, in violation of 18 U.S.C. § 1956(h). CW-2 entered his pleas pursuant to a cooperation agreement with the United States Attorney's Office for the Eastern District of New York. CW-2 has consistently been deemed reliable by other handling agents. At the wedding, MULLIGAN spoke to CW-2, who was known as an individual with a scientific background with experience in defending against DNA evidence in criminal matters. MULLIGAN indicated to CW-2, in sum and substance, that he was concerned because federal authorities had recently taken a DNA sample from him in an effort to link his DNA to hair found at the scene of a crime. According to CW-2, MULLIGAN mentioned that his hair might have been discovered in a "hat" or a "mask" that had been found near the scene of a robbery. According to CW-2,

^{2/} In or about April 2001, MULLIGAN was one of 25 defendants indicted on RICO narcotics and money laundering charges in the Eastern District of New York. He pled guilty to conspiracy to distribute marijuana and was sentenced to 37 months in prison, in November 2002. He surrendered to Bureau of Prisons authorities on January 10, 2003.

MULLIGAN described the robbery as an "armored something," and MULLIGAN wanted to know what his options were. When CW-2 specifically asked whether the hair found was MULLIGAN's, MULLIGAN responded that it was.

D. MULLIGAN's Admission to CW-1

16. CW-1 advised that MULLIGAN and Tarantino had learned, from unknown sources, that eyewitnesses at the Baumgardt murder site described to police that one of the assailants was heavy, and six-foot-one to six-foot-three in height. Although this was an approximation, rather than an exact description, CW-1 stated that within MULLIGAN and Tarantino's crew of criminal associates, only two individuals approximated that description: MULLIGAN and John Goetz (hereinafter "Goetz").^{2/}

17. Goetz was a friend and criminal associate of MULLIGAN and Tarantino, and an acquaintance of CW-1. In or about August 2000, Goetz was arrested by the U.S. Drug Enforcement Administration and charged with conspiring to distribute the "date-rape drug," known as GHB. In or about May 2001, after being released on bail, Goetz was found dead inside his New York City apartment as a result of natural causes. Sometime after Goetz's death, CW-1 and MULLIGAN had dinner together at a restaurant in Massapequa, New York. During the course of the

^{2/} Biographical data taken from a 2000 criminal history report for John Goetz indicates that Goetz was six-foot-two and 210 pounds in that year.

evening, CW-1 stated that he missed Goetz. MULLIGAN responded, in sum and substance, that "some good" had come from Goetz's death because Goetz had now become MULLIGAN's "cover story." Given MULLIGAN's prior direction to CW-1 and others to blame Kritikos for the purchase of the Mossberg Shotgun, CW-1 understood MULLIGAN to be stating that, in the event of an arrest for the Baumgardt murder, MULLIGAN would defend himself by using the deceased Goetz as fitting the description of the larger individual described by eyewitnesses at the Baumgardt murder scene.

**B. Law Enforcement's Contact with MULLIGAN's friend and
Criminal Associate Vincent Gargiulo**

18. In May 2003, Your deponent received a letter, addressed to the Long Island Resident Agency of the FBI, which read in part:

I'm writing you to see if there is a reward for information and audiotapes leading to the arrest and conviction of Scott Mulligan Christ [sic] Tarantino and others in the 1994 armored car robbery where a guard was shot dead and the death of one of the robbers named Louie who was also shot dead and found floating off Long Island.

19. The author of the letter used the pseudonym "Chucky" and claimed that he was not involved in the crimes and therefore did not "want a deal, I just want money." "Chucky" invited the FBI to contact him through the website craigslist.com.

20. On May 29, 2003, Your deponent, and another agent met "Chucky," who was in fact Vincent Gargiulo, a long time friend and former criminal associate of Tarantino and MULLIGAN's. Gargiulo reported that, several years earlier, he, Tarantino and MULLIGAN became partners in a Manhattan health club. Gargiulo had suffered from emotional and substance-abuse problems and was hospitalized for a time after the club, named Body Sculpt, opened. During his hospitalization, Gargiulo lost control of Body Sculpt's operation. When he returned from his hospitalization, Gargiulo discovered that Body Sculpt was in arrears regarding payments to its landlord and various creditors.

21. Gargiulo claimed that Tarantino and MULLIGAN sold Body Sculpt's equipment without consulting with him and that they kept the proceeds of those sales rather than pay the gym's creditors. Consequently, Gargiulo believed that Tarantino and MULLIGAN cheated him, and offered to provide the FBI, in exchange for money, with an audio tape that would tend to prove that MULLIGAN and Tarantino were, among other things, responsible for the 1994 murder of Baumgardt. Gargiulo further advised, in sum and substance, that Tarantino and MULLIGAN knew of the existence of the audio tape.

22. On the morning of August 18, 2003, Gargiulo was shot and killed near the corner of West 30th Street and Broadway in Manhattan by an individual named Justin Bressman. Bressman was an employee of Tarantino's at the time of the murder.

23. On or about April 6, 2004, the New York City Police Department received an anonymous mailing containing a micro-cassette audiotape with the recorded voices of Tarantino and Gargiulo. Based on various references, including the extent of Tarantino's wife's pregnancy with their first child and a reference that it had been two months since the FBI took his DNA exemplar, the tape was made in or about September 2000 inside Tarantino's Manhattan apartment and a nearby hallway. This would also place the making of the tape at or about the same time that MULLIGAN expressed to CW-1 and CW-2 concerns about the taking of his DNA exemplars.

24. On the audio tape, which has been subjected to forensic analysis by the FBI, deemed to have been an original and unaltered or edited recording, and admitted into evidence as authentic pursuant to the Federal Rules of Evidence at the Tarantino trial earlier this year, Tarantino admits his role in the Baumgardt, as well as Dorval murders. Specifically, Tarantino recounts to Gargiulo how during the armored car robbery that left Baumgardt dead, he and his accomplices drove to the robbery in a "red stolen vehicle," and that they subsequently "changed tails" after the robbery and murder and fled in a different vehicle.

25. Tarantino further stated to Gargiulo on the recording that the government "had" the vehicle that he and his accomplices abandoned at the scene, and that although he and

others had "cleaned the car for a couple of hours" before the robbery, Tarantino was concerned that law enforcement had recently taken his DNA sample for analysis - going so far as to state that he thought his sample would match, or "come back" to DNA found in the getaway vehicle. In discussing his concerns with Gargiulo, Tarantino also stated that he had discussed the matter with MULLIGAN and, in sum and substance, had assured MULLIGAN that prosecution for the Baumgardt murder would be impossible so long as both men refused to cooperate with law enforcement authorities. Tarantino reported that he and MULLIGAN were fairly confident that the taking of DNA was meant to scare one of them into doing something "stupid," such as cooperating with federal authorities. Tarantino reported that while, "I don't think SCOTT is as strong as, say, a lot of other guys," Tarantino believed MULLIGAN was "smart" and would calculate that even with cooperation in the Baumgardt murder investigation, he would inevitably face a lengthy prison sentence. Later in the conversation, Tarantino (designated "CT"), and Gargiulo (designated "VG"), are overheard stating the following:

VG: That's a good thing. All you have to worry about is you and SCOTT.

CT: Yeah.

VG: SCOTT understands that, right?

CT: Yeah, [unintelligible or "UI"].

VG: I don't know about that.

CT: He is, yeah.

VG: Well, that's a fuckin' good thing. So you really -- you really should just drop it. Don't talk about it with anybody.

* * * * *

VG: You got to really beat that into SCOTT'S head.

CT: Yeah, no. He's listening

26. Nevertheless, Tarantino and Gargiulo go on in the recording to discuss how the recent taking of MULLIGAN's DNA sample in connection with the Baumgardt murder had made MULLIGAN nervous, and had even prompted MULLIGAN to go "on the lam" for a period of time:

CT: [Tarantino's lawyer] said, well [UI]. Here's the deal. He said, if you don't give it to them [a DNA sample], the U.S. Attorney is going to call up your fucking parole officer, he's going to call DAN PERRONE. They're going to have you violated immediately. And just so you know, I've been checking it out. He goes, here's the deal. He goes, they can get a fuckin' court order, no fuckin' problem; and they will, okay, CHRIS? Before you get -- and they will. He goes, so if you don't like giving it to them, you're going to be automatically violated because if you give it to them, you're going to get out. Get out. How the fuck is that happening, you know what I mean. I'm like, it's coming back. I'm thinking, what does it take, ten days? Supposedly it takes two to three months.

VG: How long's it been?

CT: Two months and change.

VG: Oh, shit.

CT: Yeah.

VG: Now, it makes more sense that SCOTT'S doing everything. I was going to tell you how long ago SCOTT running [UI]

* * * * *

VG: Umm, you know what there, I think - just so you know, it's all over Bellmore, that shit SCOTT'S doing.

CT: Yeah.

VG: It comes back to me. I get a call from BOB, who is in a dirt bag bar; and he found out. I go, what the fuck you talking about, SCOTT'S not, you know, on the - you know, basically he's on the lam. SCOTT'S not on the lam. It is the stupidest thing I ever [UI]. He was on [UI].

CT: Yeah.

VG: Turns out, he's right

27. Later in the conversation, however, Tarantino assured Gargiulo that MULLIGAN was "alright now because we sat around and kicked it around." Tarantino and Gargiulo's statements in September 2000 about MULLIGAN's nervousness with respect to his DNA being taken by law enforcement are consistent with the information provided by CW-1 and CW-2 regarding their respective conversations with MULLIGAN during the summer of 2000, discussed more fully above, during which MULLIGAN expressed that same trepidation to them.

F. MULLIGAN'S mtDNA Matches that of a Hair Extracted from the Blazer

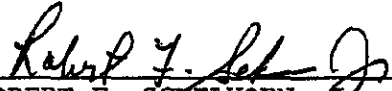
28. In November 2011, FBI laboratory analysis reported that MULLIGAN's mitochondrial DNA ("mtDNA") was the same as mtDNA

extracted from a Caucasian hair found in the Blazer immediately following the Baumgardt murder. The FBI's analysis compared the frequency of the mtDNA sequence displayed by the Caucasian hair sample and MULLIGAN's known exemplar to the general population. The analysis concluded that the mtDNA sequence in the Caucasian hair sample and MULLIGAN's appeared in only 0.17% of the Caucasian population.^{10/} Two other hairs found in the Blazer after the Baumgardt murder have also been matched to the same mtDNA found in blood and saliva samples obtained from Dorval and Tarantino, respectively.

WHEREFORE, Your deponent respectfully requests that an arrest warrant issue for the defendant SCOTT MULLIGAN so that he can be dealt with according to law.

^{10/} The mtDNA analysis results do not provide a unique identifier because mtDNA is inherited from one's mother, usually with no change in mtDNA from mother to offspring. Therefore, MULLIGAN's mother, siblings and other extended family members on his mother's side will, absent mutations, have the same mtDNA match to the Caucasian hair sample obtained from the Blazer. More definitive nuclear DNA analysis would have required the recovery of blood or tissue, in addition to hairs, from the Blazer and, thus, is not available.

WHEREFORE, Your deponent further respectfully requests that this Complaint and Affidavit, as well as the arrest warrant, be filed under seal until such time as the defendant is arrested.


ROBERT F. SCHELHORN, Jr.
Special Agent
Federal Bureau of Investigation

Sworn to before me this
20th day of December 2011

151 Tomlinson, mJ

UNITED STATES MAGISTRATE JUDGE
EASTERN DISTRICT OF NEW YORK

AO 442 (Rev. 01/09) Arrest Warrant

UNITED STATES DISTRICT COURT

for the
Eastern District of New York

United States of America
v.

SCOTT MULLIGAN

Defendant

Case No.

MJ - 11 - 1252

ARREST WARRANT

To: Any authorized law enforcement officer

YOU ARE COMMANDED to arrest and bring before a United States magistrate judge without unnecessary delay
(name of person to be arrested) SCOTT MULLIGAN,
who is accused of an offense or violation based on the following document filed with the court:

- ☐ Indictment ☐ Superseding Indictment ☐ Information ☐ Superseding Information ☒ Complaint
☐ Probation Violation Petition ☐ Supervised Release Violation Petition ☐ Violation Notice ☐ Order of the Court

This offense is briefly described as follows:

Willfully Endangering the Safety of a Commercial Motor Vehicle Operator Resulting in Death, in violation of Title 18, United States Code, Sections 33, 34 and 2.

Date: 12/20/2011

JS Tomlinson, MJ -

Issuing officer's signature

City and state: Central Islip, New York

Hon. Kathleen A. Tomlinson, U.S. Magistrate Judge

Printed name and title

Return

This warrant was received on (date) _____, and the person was arrested on (date) _____
at (city and state) _____.

Date: _____

Arresting officer's signature

Printed name and title

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

- - - - -X

UNITED STATES OF AMERICA

U N S E A L I N G
A P P L I C A T I O N

- against -

MJ-11-1252

SCOTT MULLIGAN,

Defendant

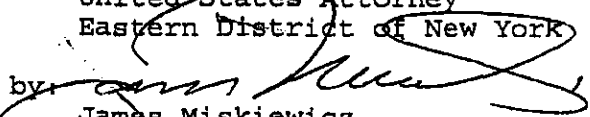
Defendant.

- - - - -X

The UNITED STATES OF AMERICA, by Assistant United States Attorney James Miskiewicz, hereby requests that an Order issue unsealing the arrest warrant and affidavit in support, which had been issued on December 20, 2011 under seal by the Honorable A. Kathleen Tomlinson, United States Magistrate Judge for the Eastern District of New York. The warrant was executed earlier today and the defendant is in custody awaiting initial appearance before a Magistrate Judge in the Southern District of Florida. Accordingly, there remains no further reason to seal this matter, the government requests that it be unsealed.

Respectfully Submitted,

LORETTA E. LYNCH
United States Attorney
Eastern District of New York

by: 
James Miskiewicz
Assistant United States Attorney

Dated: Central Islip, New York
December 21, 2011

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

- - - - -X

UNITED STATES OF AMERICA

U N S E A L I N G
O R D E R

- against -

MJ-11-1252

SCOTT MULLIGAN,

Defendant

- - - - -X

Upon the motion of the UNITED STATES OF AMERICA, by Assistant United States Attorney James Miskiewicz, the arrest warrant in the above-captioned matter having been executed earlier today, and there being no further reason to seal this matter, the government's application is ORDERED unsealed.

SO ORDERED:

19 Tomlinson, m J.
THE HONORABLE A. KATHLEEN TOMLINSON
UNITED STATES MAGISTRATE JUDGE

Dated: Central Islip, New York
December 21, 2011